Engineering Tripos Part IIA, 3D1: Geotechnical Engineering I, 2017-18

Module Leader
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Lecturers
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Timing and Structure
Michaelmas term. 16 lectures.

Objectives
As specific objectives, by the end of the course students should be able to:

- Use data of water content and density to calculate saturation and voids ratio.
- Specify appropriate compaction criteria from soil laboratory data.
- Calculate vertical profiles of pore water pressure, total and effective stresses.
- Determine soil compressibility and calculate uniform ground settlements.
- Determine isochrones of excess pore pressure for various transient flows.
- Relate soil permeability, soil stiffness, and the coefficient of consolidation.
- Find the time required for various degrees of soil consolidation.
- Specify “drained” or “undrained” direct shear tests, and interpret them.
- Use Mohr circles of total or effective stress to interpret triaxial tests.
- Perform upper and lower bound limit analyses of drained and undrained soil.
- Analyse limiting equilibrium with slip planes and slip circles as mechanisms.
- Search for the least optimistic mechanism of failure in soil using either f or cu.
- Perform simple design calculations of a strip footing on clay and sand.
- Perform simple design calculation of a retaining structure in clay and sand.
- Make allowances for groundwater pressures in drained stability calculations.

Content
Structures depend for their stability on the ground which supports their foundations. Furthermore, many structures are actually built of soil (road, rail and flood embankments, dams, road bases and rail beds, waste repositories) or have to retain soil as their prime purpose (basement walls, quay walls, tunnels and pipes). So all Civil and Structural Engineers should understand soil behaviour and be able to apply this understanding in geotechnical engineering design and construction. This course introduces soil as a product of nature and focuses on its material properties and behaviour in engineering applications. Soil comprises solid grains, water and sometimes air. The solid phase is an interlocking aggregate of soil grains that can deform and rearrange; the fluid phase inhabits an interconnected pore space through which flow can take place. Total stresses, arising from loads or from the self-weight of the soil itself, have to be partitioned between these two phases. Pore pressures arise firstly from hydrostatics, but are modified by the effects of viscous drag when the fluid is flowing. Once pore pressures have been discounted, the remaining effective stresses must act between the grains, giving rise to deformations of the
granular skeleton and therefore to displacements at the ground surface and possible distortions of any connected superstructures. This partition of stress is known as the principle of effective stress; it is the key to understanding soil behaviour and is the main theme of the first example paper.

If loads or deformations are imposed on a saturated soil body whose voids are free of air, and whose pore fluid can therefore be regarded as incompressible, and if they are applied so quickly that fluid has no time to escape, then the process is described as “undrained” and the soil must deform at constant volume. The process of transient flow, taking soil from an “undrained” to a “drained” state, can lead either to consolidation (fluid drains out, and soil gets denser and stronger) or swelling (which is the opposite). These phenomena lead to the familiar cracking-up of houses founded on soft clay soils that compress under load, or stiff clay soils that shrink in dry weather. The magnitude and rate of such volume changes forms the theme of the second examples paper. In addition to being prone to volume changes, soils are also relatively weak in shear – perhaps 3 orders of magnitude weaker than concrete. Once again, the possibility of transient flow dictates the outcome. After large shear distortions, “undrained” soils ultimately display a constant undrained strength, familiar to someone remoulding modelling clay between their fingers. If, on the other hand, the loads or deformations are imposed so slowly that the fluid can move completely freely, the process is described as “drained” and the soil deforms at constant pore pressure. In these circumstances, the strength of the soil is dictated by friction and interlocking between its grains. Ultimately the soil will display a constant internal angle of friction, familiar as the angle of repose of dry sand in sand dunes. Given enough time, underwater slopes in clay also rest at their angle of repose, as do sands. Tests to establish the drained (sand-like) or undrained (clay-like) strengths of soils, will be introduced and explained. These tests are covered at the start of the third examples sheet.

Once it has been established that a given undrained shear strength, or alternatively a given angle of internal friction, can be relied upon, the next step is to be able to make calculations to demonstrate whether a soil body will remain stable under its own weight, or under the loads applied by structural foundations, for example. This module extends the plastic analysis of structures, first encountered in Part IB Structures, to bodies made of soil. Both “upper bound” style calculations based on assumed failure mechanisms, and “lower bound” calculations based on demonstrating equilibrium through Mohr’s circles, will be introduced. The stability of foundations and earthworks – both “undrained” and “drained” – will form the main part of the third examples sheet.

**Topic 1: The granular continuum**

*Basic definitions of soil constituents, and their packing*

Phase relationships. Density of grains and water; voids ratio and saturation; water content, unit weight. Classification of soils using particle size distribution curves; void sizes, internal erosion. Relative density of sands. Atterberg’s classification tests – plastic limit, liquid limit, and plasticity index of clayey soils.

*Soils in nature, and the principle of effective stress*


*Steady state seepage & slope stability*

Steady 1D flow through soil: seepage potential, hydraulic gradient, permeability proportional to void size squared, natural percolation of rainfall. Stability of infinite slopes dry, submerged and with seepage

**Topic 2: Compression and Compaction**

*Artificially formed soils: compaction*

Proctor compaction test. Compaction energy; optimum water content; degree of saturation. Controlling compaction in the field: monitoring dry density as a practical alternative to voids ratio, relative compaction. Clayey soils: brittleness and wetting-collapse if compacted dry of optimum, softness if compacted wet of optimum.

*Compressibility and stiffness*

**Topic 3: Consolidation**

*Transient flow & the Oedometer Test*

Excess pore pressures due to 1D loading, the use of parabolic isochrones to depict transient flow. 1D consolidation of a unit cell with single drainage: parabolic isochrones, areas and gradients, consolidation parameters. Interpreting transient compression in oedometer tests using square root of time. Differences between times required for normal consolidation and swelling. Creep.

*One-dimensional consolidation in the field*

Subdividing the ground into layers, using representative oedometer data, and summing compressions into ground settlements. Application to land reclamation. Use of surcharging to reduce consolidation times. Consolidation due to changes in the groundwater regime.

**Topic 4: The shear strength of soil**

*“Direct” and “simple” shear tests: undrained and drained*


**Topic 5: Limiting equilibrium of geotechnical structures**

*Shallow foundation design in clay : vertical loading*

Bearing capacity of a shallow strip footing on clay. Upper bounds; kinematically admissible mechanism, shear strength, global work or equilibrium. Slip circles and slip planes for non-dilatant soils. Lower bounds; statically admissible stress field, shear strength, equilibrium everywhere. Uniform undrained shear resistance $c_u$.

*Shallow foundation design in clay : combined loading*

Bearing capacity of a shallow strip footing on clay under combined loading. Uniform undrained shear resistance. Effect of vertical, horizontal and moment loading.

*Shallow foundation design in sand : vertical loading*

Bearing capacity of a shallow strip footing on sand. Uniform angle of friction; stress discontinuities, dry sand. Weightless soil. Upper and lower bounds.

*Shallow foundation design in sand : effect of self-weight and water*


*Stability of retaining structures in clay*

The stability of retaining structures (walls, cuts and excavations) in clay is examined using plasticity theory. Limiting pressures on retaining walls; estimates of active and passive pressure, tension cracks. Solutions are derived for undrained conditions.

*Stability of retaining structures in sand*

The stability of retaining walls in sand is examined using plasticity theory. Limiting pressures on retaining walls;
estimates of active and passive pressure, Solutions for limiting earth pressures on rough walls. Solutions are derived for drained conditions, and attention is given to the influence of groundwater.

Examples papers
There will be three examples papers directly related to the lecture course, given out in weeks 1, 3 and 6.

Basic relationships for a granular continuum
Consolidation and swelling
Soil strength, and the limiting equilibrium of soil bodies

Coursework
Atterberg Limit Tests

Learning objectives:

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Practical information:

- Sessions will take place in [Location], during week(s) [xxx].
- This activity [involves/doesn't involve] preliminary work ([estimated duration]).

Full Technical Report:

Students [will/won't] have the option to submit a Full Technical Report.

Booklists
Please see the Booklist for Part IIA Courses [2] for references for this module.

Examination Guidelines
Please refer to Form & conduct of the examinations [3].

UK-SPEC
The UK Standard for Professional Engineering Competence (UK-SPEC) [4] describes the requirements that have to be met in order to become a Chartered Engineer, and gives examples of ways of doing this.

UK-SPEC is published by the Engineering Council on behalf of the UK engineering profession. The standard has been developed, and is regularly updated, by panels representing professional engineering institutions, employers and engineering educators. Of particular relevance here is the ‘Accreditation of Higher Education Programmes’ (AHEP) document [5] which sets out the standard for degree accreditation.

The Output Standards Matrices [6] indicate where each of the Output Criteria as specified in the AHEP 3rd edition document is addressed within the Engineering and Manufacturing Engineering Triposes.