Version KS/2

No alterations are required

EGT2
ENGINEERING TRIPOS PART IIA

Wednesday 23 April 2014 2 to 3.30

Module 3D2

GEOTECHNICAL ENGINEERING II

*Answer not more than **three** questions.*

All questions carry the same number of marks.

*The **approximate** percentage of marks allocated to each part of a question is indicated in the right margin.*

*Write your candidate number **not** your name on the cover sheet.*

STATIONERY REQUIREMENTS
Single-sided script paper
Graph paper

SPECIAL REQUIREMENTS TO BE SUPPLIED FOR THIS EXAM
CUED approved calculator allowed
Attachment: Geotechnical Engineering Data Book (19 pages)
Engineering Data Book

You may not start to read the questions printed on the subsequent pages of this question paper until instructed to do so.

1 A triaxial compression test is performed on a reconstituted London clay sample, which is isotropically consolidated and allowed to swell isotropically prior to shearing. The sample is normally consolidated to 400 kN m^{-2} and then swelled back to 100 kN m^{-2}. During shearing, the radial stress σ_r is kept constant and the axial stress σ_a is either increased or decreased. The properties of London clay are given in the Geotechnical Engineering Data Book. Use the Cam Clay model, when necessary, to answer the following questions.

(a) Compute the specific volume of the sample after consolidation and swelling, prior to shearing. Plot the Cam Clay yield surface at the consolidated stress state in $p' - q$ space, in which $p' = (\sigma'_a + 2\sigma'_r)/3$ and $q = \sigma'_a - \sigma'_r$. [20%]

(b) If the sample is sheared by increasing the axial stress in drained conditions, plot the corresponding stress path in the $p' - q$ diagram drawn for your answer in Part (a). Find the deviator stress and the specific volume at the critical state. [25%]

(c) If the sample is sheared by reducing the axial stress in drained conditions, plot the corresponding stress path in the $p' - q$ diagram drawn for your answer in Part (a). Find the deviator stress and the specific volume at the critical state. [25%]

(d) If the sample is sheared by increasing the axial load in undrained conditions, find the deviator stresses at yield and at the critical state. Plot the stress path in the $p' - q$ diagram drawn for your answer in Part (a). [30%]

2 A self-boring pressuremeter test is undertaken in a stiff clay at a depth of 20 m. The diameter of the cylindrical pressuremeter is 80 mm. The water table is 2 m below the ground surface. The unit weight of the clay is $\gamma = 20$ kN m^{-3}, both above and below the water table. At the depth of 20 m the coefficient of earth pressure is $K_0 = 1.5$, the undrained shear strength is $c_u = 150$ kN m^{-2} and the elastic shear modulus is $G = 50$ MN m^{-2}.

The pressure is increased under undrained conditions and the expansion of the flexible membrane is measured with strain-gauged feeler arms. By assuming the pressuremeter test to be a cylindrical cavity expansion, and the clay to be a linear-elastic/perfectly plastic material, answer the following questions.

(a) At what pressure does lift-off occur? [15%]

(b) At what pressure does yield of the clay first occur? What is the corresponding radial displacement of the membrane? [30%]

(c) What would be the theoretical maximum pressure that could be achieved, corresponding to a very large expansion of the membrane? [15%]

(d) After yield has occurred, a plastic zone surrounds an elastic zone. Within the elastic zone, at any radius r, the radial and circumferential stresses, σ_r and σ_θ respectively, are given by the following expressions:

$$\sigma_r = \sigma_{h0} + G\delta A/\pi r^2$$

$$\sigma_\theta = \sigma_{h0} - G\delta A/\pi r^2$$

where σ_{h0} is the insitu total horizontal stress in the ground, G is the elastic shear modulus and δA is the increase in cross-sectional area of the cavity. Show that the radius of the plastic zone, r_p, is given by the following expression:

$$r_p/r_c = (G/c_u \cdot \delta A/A)^{0.5}$$

where r_c is the radius of the cavity, A is the cross-sectional area of the cavity and the other symbols are as defined above. [20%]

(e) The pressure is increased to a value 40% higher than the value at which yield in the clay first occurs (as calculated in Part (b)). Calculate the radius of the corresponding plastic zone. [20%]

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3 Fig. 1 shows a soil element A at a depth of 10 m below ground level and beneath the centre line of a proposed embankment. The water table is 1 m below ground level. The embankment is to be constructed by steadily increasing its height, controlled by observations of the pore pressures measured in a piezometer installed at A. The soil is a clay for which the critical state angle of friction is $\phi' = 25$ degrees. The bulk unit weight of the clay is $\gamma = 20$ kN m^{-3}, both above and below the water table. The clay was originally deposited one-dimensionally under normally consolidated conditions, but it is now overconsolidated and the coefficient of earth pressure is $K_0 = 1.0$.

As the embankment is constructed rapidly under undrained conditions, it can be assumed that the increase in horizontal total stress at soil element A is 25% of the increase in vertical total stress. It can also be assumed that the increase in total vertical stress at A is directly proportional to the embankment height. Yield of the clay at A first occurs when the embankment reaches a height of H_1, and the corresponding increase in vertical total stress at A is 50 kN m^{-2}.

(a) Assuming plane strain conditions, plot the total and effective stress paths for the soil element A in terms of t, s' and s (as defined in the Geotechnical Engineering Data Book) and calculate the pore pressures recorded by the piezometer at A for the following stages:

(i) when the embankment height is $0.5H_1$ [20%]

(ii) when the embankment height is H_1 [20%]

(b) The embankment height is increased above H_1 to a height of H_2, at which point the soil at A just reaches the critical state. The corresponding total increase of vertical total stress at A due to the embankment construction is then 80 kN m^{-2}. Sketch the total and effective stress paths in terms of t, s' and s on the same plot that you have done for Part (a). What is the pore pressure at A at this stage? [40%]

(c) The embankment construction is halted when it reaches a height H_2 and excess pore pressures in the clay are allowed to dissipate to their long term value. Sketch the total and effective stress paths for this stage in terms of t, s' and s on the same plot that you have done for Part (a). What is the change in pore pressure at A during this stage? [20%]

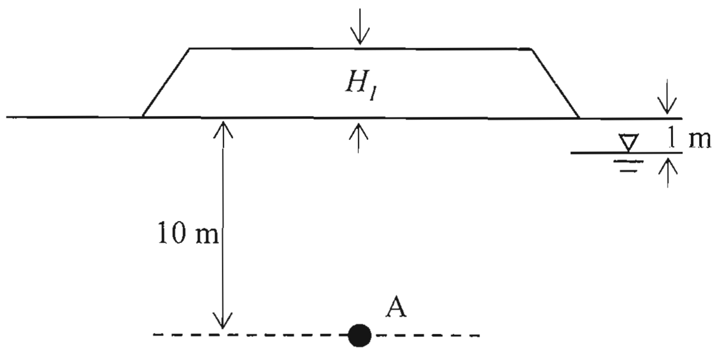

Fig. 1

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4 An infinitely long sandy soil layer is overlain by a 1 m thick low permeability cover soil as shown in Fig. 2. The sandy soil has a thickness of 3 m and is underlain by a sandstone formation. The slope angle is 20 degrees for all layers. The insitu void ratio of the sandy layer is 0.7 and the phreatic water table is at the cover soil-sandy soil interface. The sand is uniform sub-angular quartz sand, which has maximum and minimum void ratios of 0.9 and 0.5, respectively. The specific gravity of the sand is G_s = 2.65. The unit weight of the cover soil is 18 kN m^{-3}. The interface friction angle between the cover soil and the sandy soil is 26 degrees.

(a) Evaluate the saturated unit weight of the sandy soil. [10%]

(b) Draw equipotential lines of the groundwater along the slope and estimate the water pressure in the sandy formation at the sandstone interface. [20%]

(c) Evaluate the total and effective normal stresses and the shear stress acting at the sandstone interface. Determine the mobilised friction angle ϕ'_{mob}. [20%]

(d) Using the relative dilatancy index defined in the Geotechnical Engineering Data Book, estimate the peak friction angle of the sandy soil at the sandstone interface. Estimate the factor of safety against failure at the sandstone interface assuming the strength of the sandy soil is governed by (i) the peak friction angle ϕ'_p and (ii) the critical state friction angle ϕ'_{crit}. The factor of safety is defined as $\tan\phi'_p/\tan\phi'_{mob}$ or $\tan\phi'_{crit}/\tan\phi'_{mob}$. [25%]

(e) Due to heavy rain, the water pressure in the sandy layer rises by increasing seepage flow. Estimate the pore pressure that is required to fail the slope at (i) the cover soil-sandy soil interface and (ii) the sandy soil-sandstone interface. Which is more critical? [25%]

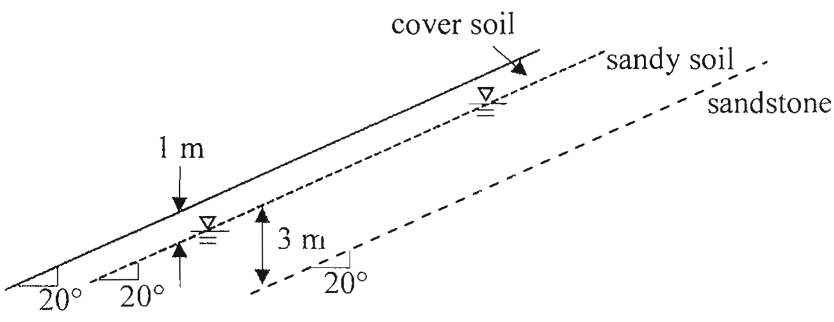


Fig. 2

END OF PAPER

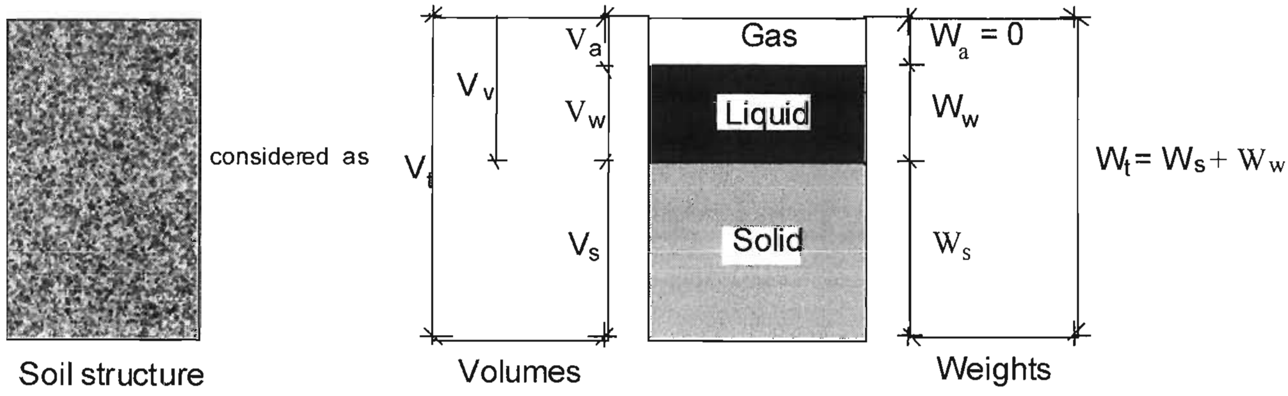
Engineering Tripos Part IIA

3D1 & 3D2
Geotechnical Engineering

Data Book 2012-2013

Contents	**Page**
General definitions	2
Soil classification	3
Seepage	4
One-dimensional compression	5
One-dimensional consolidation	6
Stress and strain components	7, 8
Elastic stiffness relations	9
Cam Clay	10, 11
Friction and dilation	12, 13, 14
Plasticity; cohesive material	15
Plasticity; frictional material	16
Empirical earth pressure coefficients	17
Cylindrical cavity expansion	17
Infinite slope analysis	17
Shallow foundation capacity	18, 19

General definitions



Specific gravity of solid	G_s	
Voids ratio	e	$= V_v / V_s$
Specific volume	v	$= V_t / V_s = 1 + e$
Porosity	n	$= V_v / V_t = e/(1 + e)$
Water content	w	$= (W_w / W_s)$
Degree of saturation	S_r	$= V_w / V_v = (w\,G_s/e)$
Unit weight of water	γ_w	$= 9.81\ \text{kN/m}^3$
Unit weight of soil	γ	$= W_t / V_t = \left(\dfrac{G_s + S_r e}{1 + e}\right)\gamma_w$
Buoyant saturated unit weight	γ'	$= \gamma - \gamma_w = \left(\dfrac{G_s - 1}{1 + e}\right)\gamma_w$
Unit weight of dry solids	γ_d	$= W_s / V_t = \left(\dfrac{G_s}{1 + e}\right)\gamma_w$
Air volume ratio	A	$= V_a/V_t = \left(\dfrac{e(1 - S_r)}{1+e}\right)$

Soil classification (BS1377)

Liquid limit w_L

Plastic Limit w_P

Plasticity Index $I_P = w_L - w_P$

Liquidity Index $I_L = \dfrac{w - w_P}{w_L - w_P}$

$$\text{Activity} = \frac{\text{Plasticity Index}}{\text{Percentage of particles finer than } 2\ \mu\text{m}}$$

$$\text{Sensitivity} = \frac{\text{Unconfined compressive strength of an undisturbed specimen}}{\text{Unconfined compressive strength of a remoulded specimen}}$$ (at the same water content)

Classification of particle sizes:–

Boulders	larger than			200 mm
Cobbles	between	200 mm	and	60 mm
Gravel	between	60 mm	and	2 mm
Sand	between	2 mm	and	0.06 mm
Silt	between	0.06 mm	and	0.002 mm
Clay	smaller than	0.002 mm (two microns)		

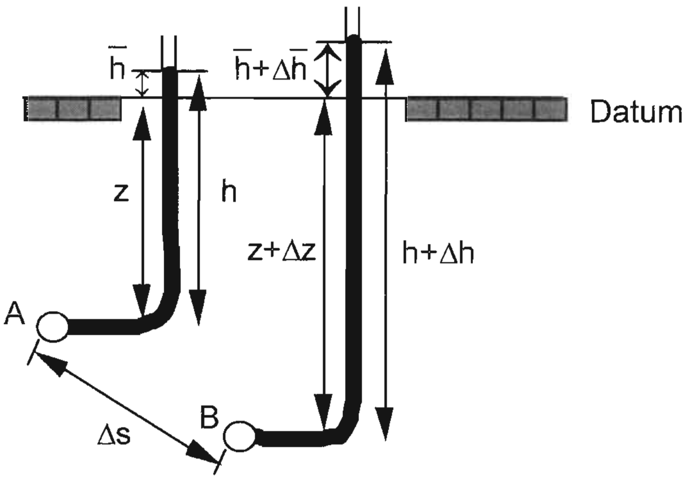
D equivalent diameter of soil particle

D_{10}, D_{60} etc. particle size such that 10% (or 60%) etc.) by weight of a soil sample is composed of finer grains.

C_U uniformity coefficient D_{60} / D_{10}

Seepage

Flow potential:
(piezometric level)



Total gauge pore water pressure at A: $u = \gamma_w h = \gamma_w(\bar{h} + z)$

B: $u + \Delta u = \gamma_w (h + \Delta h) = \gamma_w (\bar{h} + z + \Delta \bar{h} + \Delta z)$

Excess pore water pressure at A: $\bar{u} = \gamma_w \bar{h}$

B: $\bar{u} + \Delta \bar{u} = \gamma_w (\bar{h} + \Delta \bar{h})$

Hydraulic gradient A → B $\quad i = -\dfrac{\Delta \bar{h}}{\Delta s}$

Hydraulic gradient (3D) $\quad i = -\nabla \bar{h}$

Darcy's law $\quad V = ki$

V = superficial seepage velocity

k = coefficient of permeability

Typical permeabilities:

$D_{10} > 10$ mm	:	non-laminar flow
10 mm $> D_{10} > 1\mu$m	:	$k \cong 0.01 \, (D_{10} \text{ in mm})^2$ m/s
clays	:	$k \cong 10^{-9}$ to 10^{-11} m/s

Saturated capillary zone

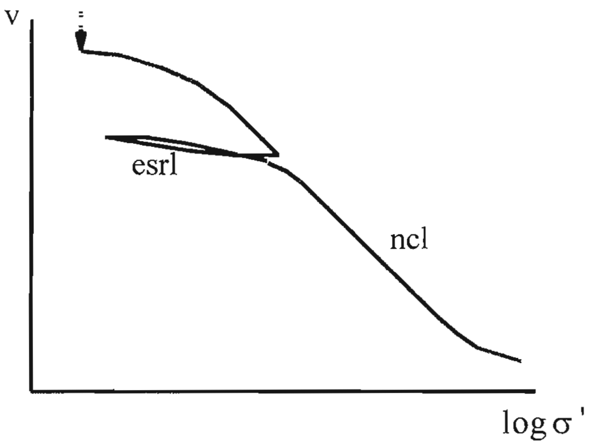
$$h_c = \frac{4T}{\gamma_w d}$$: capillary rise in tube diameter d, for surface tension T

$$h_c \approx \frac{3 \times 10^{-5}}{D_{10}} \text{ m}$$: for water at 10°C; note air entry suction is $u_c = -\gamma_w h_c$

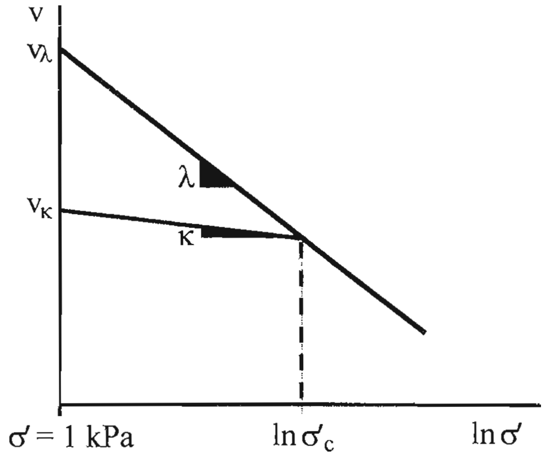
One-Dimensional Compression

• Fitting data

Typical data (sand or clay)



Mathematical model



Plastic compression stress σ'_c is taken as the larger of the initial aggregate crushing stress and the historic maximum effective vertical stress. Clay muds are taken to begin with $\sigma'_c \approx 1$ kPa.

Plastic compression (normal compression line, ncl): $v = v_\lambda - \lambda \ln \sigma'$ for $\sigma' = \sigma'_c$

Elastic swelling and recompression line (esrl): $v = v_c + \kappa (\ln \sigma'_c - \ln \sigma'_v)$

$= v_\kappa - \kappa \ln \sigma'_v$ for $\sigma' < \sigma'_c$

Equivalent parameters for $\log_{10}$ stress scale:

Terzaghi's compression index $C_c = \lambda \log_{10} e$

Terzaghi's swelling index $C_s = \kappa \log_{10} e$

• Deriving confined soil stiffnesses

Secant 1D compression modulus $E_o = (\Delta\sigma' / \Delta\varepsilon)_o$

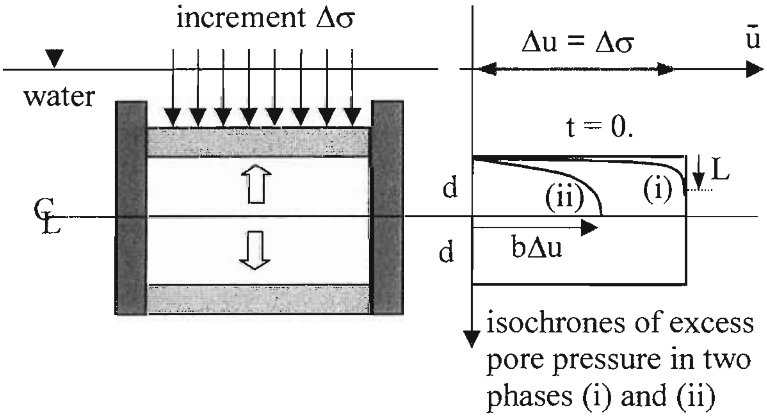
Tangent 1D plastic compression modulus $E_o = v\, \sigma' / \lambda$

Tangent 1D elastic compression modulus $E_o = v\, \sigma' / \kappa$

One-Dimensional Consolidation

Settlement	ρ	$= \int m_v (\Delta u - \bar{u})\, dz \quad = \int (\Delta u - \bar{u}) / E_o\, dz$
Coefficient of consolidation	c_v	$= \dfrac{k}{m_v \gamma_w} \quad = \dfrac{kE_o}{\gamma_w}$
Dimensionless time factor	T_v	$= \dfrac{c_v t}{d^2}$
Relative settlement	R_v	$= \dfrac{\rho}{\rho_{ult}}$

- **Solutions for initially rectangular distribution of excess pore pressure**



Approximate solution by parabolic isochrones:

Phase (i) $L^2 = 12\, c_v t$

$R_v = \sqrt{\dfrac{4T_v}{3}}$ for $T_v < {}^1/_{12}$

Phase (ii) $b = \exp(\tfrac{1}{4} - 3T_v)$

$R_v = [1 - {}^2/_3 \exp(\tfrac{1}{4} - 3T_v)]$ for $T_v > {}^1/_{12}$

Solution by Fourier Series:

T_v	0	0.01	0.02	0.04	0.08	0.15	0.20	0.30	0.40	0.50	0.60	0.80	1.00
R_v	0	0.12	0.17	0.23	0.32	0.45	0.51	0.62	0.70	0.77	0.82	0.89	0.94

Stress and strain components

- **Principle of effective stress (saturated soil)**

total stress σ = effective stress σ' + pore water pressure u

- **Principal components of stress and strain**

sign convention	compression positive
total stress	$\sigma_1,\ \sigma_2, \sigma_3$
effective stress	$\sigma_1',\ \sigma_2',\ \sigma_3'$
strain	$\varepsilon_1,\ \varepsilon_2,\ \varepsilon_3$

- **Simple Shear Apparatus (SSA)** ($\varepsilon_2 = 0$; other principal directions unknown)

The only stresses that are readily available are the shear stress τ and normal stress σ applied to the top platen. The pore pressure u can be controlled and measured, so the normal effective stress σ' can be found. Drainage can be permitted or prevented. The shear strain γ and normal strain ε are measured with respect to the top platen, which is a plane of zero extension. Zero extension planes are often identified with slip surfaces.

work increment per unit volume $\delta W = \tau\,\delta\gamma + \sigma'\delta\varepsilon$

- **Biaxial Apparatus - Plane Strain (BA-PS)** ($\varepsilon_2 = 0$; rectangular edges along principal axes)

Intermediate principal effective stress σ_2', in zero strain direction, is frequently unknown so that all conditions are related to components in the 1-3 plane.

mean total stress	$s = (\sigma_1 + \sigma_3)/2$
mean effective stress	$s' = (\sigma_1' + \sigma_3')/2 = s - u$
shear stress	$t = (\sigma_1' - \sigma_3')/2 = (\sigma_1 - \sigma_3)/2$
volumetric strain	$\varepsilon_v = \varepsilon_1 + \varepsilon_3$
shear strain	$\varepsilon_\gamma = \varepsilon_1 - \varepsilon_3$
work increment per unit volume	$\delta W = \sigma_1'\delta\varepsilon_1 + \sigma_3'\delta\varepsilon_3$
	$\delta W = s'\delta\varepsilon_v + t\delta\varepsilon_\gamma$

providing that principal axes of strain increment and of stress coincide.

• **Triaxial Apparatus – Axial Symmetry (TA-AS)** (cylindrical element with radial symmetry)

total axial stress	σ_a	$= \sigma'_a + u$
total radial stress	σ_r	$= \sigma'_r + u$
total mean normal stress	p	$= (\sigma_a + 2\sigma_r)/3$
effective mean normal stress	p'	$= (\sigma'_a + 2\sigma'_r)/3 = p - u$
deviatoric stress	q	$= \sigma'_a - \sigma'_r = \sigma_a - \sigma_r$
stress ratio	η	$= q/p'$
axial strain	ε_a	
radial strain	ε_r	
volumetric strain	ε_v	$= \varepsilon_a + 2\varepsilon_r$
triaxial shear strain	ε_s	$= \frac{2}{3}(\varepsilon_a - \varepsilon_r)$
work increment per unit volume	δW	$= \sigma_a'\delta\varepsilon_a + 2\sigma_r'\delta\varepsilon_r$
	δW	$= p'\delta\varepsilon_v + q\delta\varepsilon_s$

Types of triaxial test include:

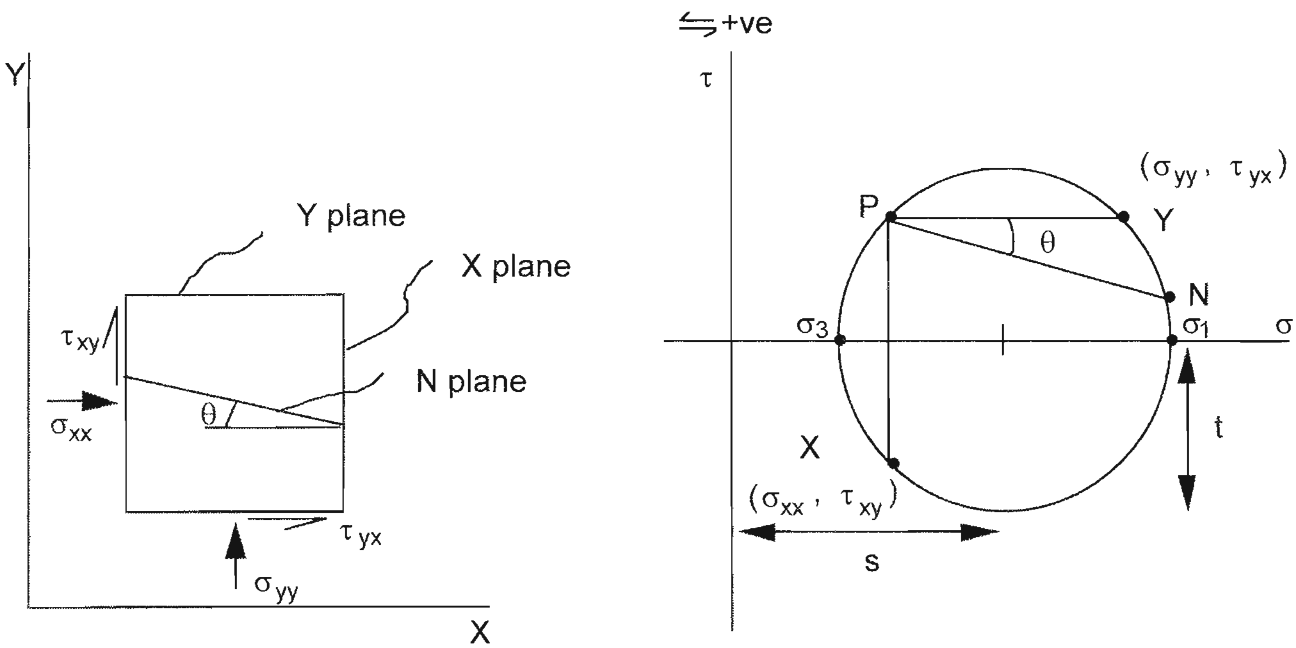
isotropic compression in which p' increases at zero q

triaxial compression in which q increases *either* by increasing σ_a *or* by reducing σ_r

triaxial extension in which q reduces *either* by reducing σ_a *or* by increasing σ_r

• **Mohr's circle of stress (1–3 plane)**

Sign of convention: compression, and counter-clockwise shear, positive



Poles of planes P : the components of stress on the N plane are given by the intersection N of the Mohr circle with the line PN through P parallel to the plane.

Elastic stiffness relations

These relations apply to tangent stiffnesses of over-consolidated soil, with a state point on some swelling and recompression line (κ-line), and remote from gross plastic yielding.

One-dimensional compression (axial stress and strain increments $d\sigma'$, $d\varepsilon$)

compressibility $m_v = d\varepsilon / d\sigma'$

constrained modulus $E_o = 1 / m_v$

Physically fundamental parameters

shear modulus $G' = dt / d\varepsilon_\gamma$

bulk modulus $K' = dp' / d\varepsilon_v$

Parameters which can be used for constant-volume deformations

undrained shear modulus $G_u = G'$

undrained bulk modulus $K_u = \infty$ (neglecting compressibility of water)

Alternative convenient parameters

Young's moduli E' (effective), E_u (undrained)

Poisson's ratios ν' (effective), $\nu_u = 0.5$ (undrained)

Typical value of Poisson's ratio for small changes of stress: $\nu' = 0.2$

Relationships:

$$G = \frac{E}{2(1+\nu)}$$

$$K = \frac{E}{3(1-2\nu)}$$

$$E_o = \frac{E(1-\nu)}{(1+\nu)(1-2\nu)}$$

Cam Clay

• Interchangeable parameters for stress combinations at yield, and plastic strain increments

System	Effective normal stress	Plastic normal strain	Effective shear stress	Plastic shear strain	Critical stress ratio	Plastic normal stress	Critical normal stress
General	σ^*	ε^*	τ^*	γ^*	μ^*_{crit}	σ^*_c	σ^*_{crit}
SSA	σ'	ε	τ	γ	$\tan\phi_{crit}$	σ'_c	σ'_{crit}
BA-PS	s'	ε_v	t	ε_γ	$\sin\phi_{crit}$	s'_c	s'_{crit}
TA-AS	p'	ε_v	q	ε_s	M	p'_c	p'_{crit}

• General equations of plastic work

Plastic work and dissipation $\qquad \sigma^*\,\delta\varepsilon^* + \tau^*\,\delta\gamma^* = \mu^*_{crit}\,\sigma^*\,\delta\gamma^*$

Plastic flow rule – normality $\qquad \dfrac{d\tau^*}{d\sigma^*}\cdot\dfrac{d\gamma^*}{d\varepsilon^*} = -1$

• General yield surface

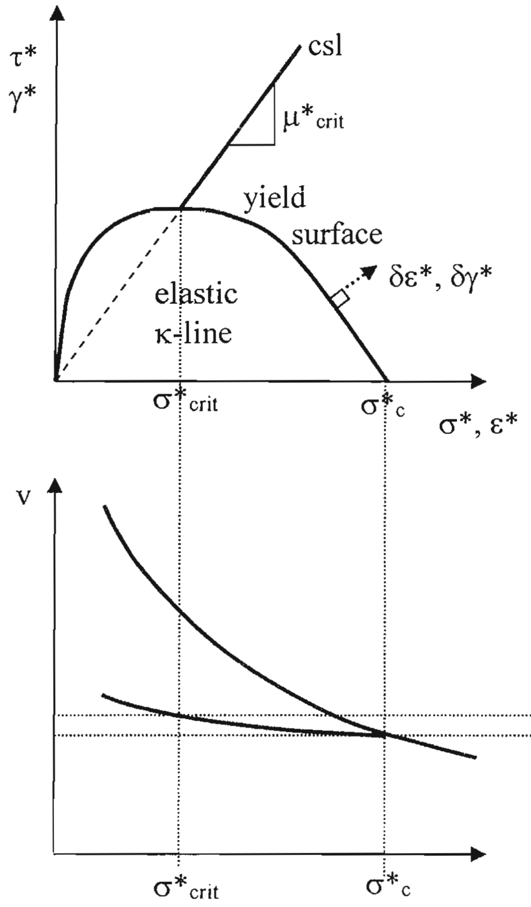
$$\frac{\tau^*}{\sigma^*} = \mu^* = \mu^*_{crit}\,.\,\ln\left[\frac{\sigma_c^*}{\sigma^*}\right]$$

• Parameter values which fit soil data

	London Clay	Weald Clay	Kaolin	Dog's Bay Sand	Ham River Sand
λ^*	0.161	0.093	0.26	0.334	0.163
κ^*	0.062	0.035	0.05	0.009	0.015
Γ^* at 1 kPa	2.759	2.060	3.767	4.360	3.026
$\sigma^*_{c,\ virgin}$ kPa	1	1	1	Loose 500	Loose 2500
				Dense 1500	Dense 15000
ϕ_{crit}	23°	24°	26°	39°	32°
M_{comp}	0.89	0.95	1.02	1.60	1.29
M_{extn}	0.69	0.72	0.76	1.04	0.90
w_L	0.78	0.43	0.74	--------------	--------------
w_P	0.26	0.18	0.42	--------------	--------------
G_s	2.75	2.75	2.61	2.75	2.65

Note: 1) parameters λ^*, κ^*, Γ^*, σ^*_c should depend to a small extent on the deformation mode, e.g. SSA, BA-PS, TA-AS, etc. This may be neglected unless further information is given.
2) Sand which is loose, or loaded cyclically, compacts more than Cam Clay allows.

- **The yield surface in (σ*, τ*, v) space**



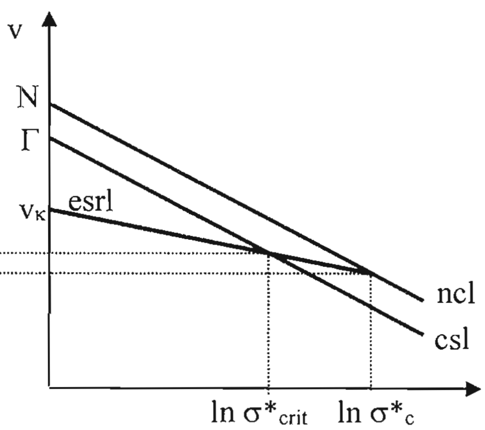
ncl: normal compression line

$$v = N - \lambda \ln \sigma^*$$

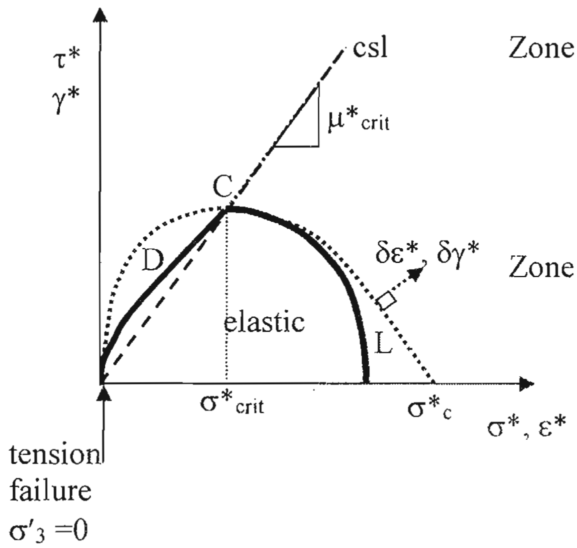
csl: critical state line

$$v = \Gamma - \lambda \ln \sigma^*$$

where $N = \Gamma + \lambda - \kappa$



- **Regions of limiting soil behaviour**



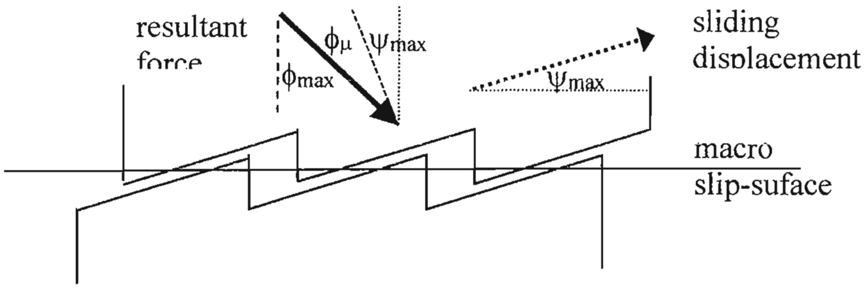
Variation of Cam Clay yield surface

Zone D: denser than critical, "dry",
dilation or negative excess pore pressures,
Hvorslev strength envelope,
friction-dilatancy theory,
unstable shear rupture, progressive failure

Zone L: looser than critical, "wet",
compaction or positive excess pore pressures,
Modified Cam Clay yield surface,
stable strain-hardening continuum

Strength of soil: friction and dilation

- **Friction and dilatancy: the saw-blade model of direct shear**

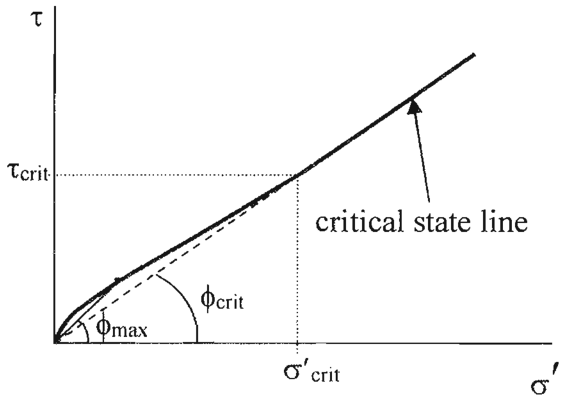


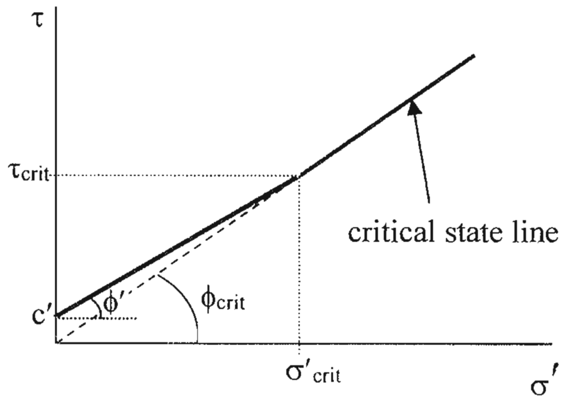
Intergranular angle of friction at sliding contacts ϕ_μ

Angle of dilation ψ_{max}

Angle of internal friction $\phi_{max} = \phi_\mu + \psi_{max}$

- **Friction and dilatancy: secant and tangent strength parameters**





Secant angle of internal friction

$\tau = \sigma' \tan \phi_{max}$
$\phi_{max} = \phi_{crit} + \Delta\phi$
$\Delta\phi = f(\sigma'_{crit}/\sigma')$

typical envelope fitting data:
power curve
$(\tau/\tau_{crit}) = (\sigma'/\sigma'_{crit})^\alpha$
with $\alpha \approx 0.85$

Tangent angle of shearing envelope

$\tau = c' + \sigma' \tan \phi'$
$c' = f(\sigma'_{crit})$

typical envelope:
straight line
$\tan \phi' = 0.85 \tan \phi_{crit}$
$c' = 0.15\, \tau_{crit}$

• Friction and dilation: data of sands

The inter-granular friction angle of quartz grains, $\phi_\mu \approx 26°$. Turbulent shearing at a critical state causes ϕ_{crit} to exceed this. The critical state angle of internal friction ϕ_{crit} is a function of the uniformity of particle sizes, their shape, and mineralogy, and is developed at large shear strains irrespective of initial conditions. Typical values of ϕ_{crit} ($\pm 2°$) are:

well-graded, angular quartz or feldspar sands	40°
uniform sub-angular quartz sand	36°
uniform rounded quartz sand	32°

Relative density $\quad I_D = \dfrac{(e_{max} - e)}{(e_{max} - e_{min})}$ $\quad$ where:

e_{max} is the maximum void ratio achievable in quick-tilt test
e_{min} is the minimum void ratio achievable by vibratory compaction

Relative crushability $\quad I_C = \ln(\sigma_c / p')$ $\quad$ where:

σ_c is the aggregate crushing stress, taken to be a material constant, typical values being: 80 000 kPa for quartz silt, 20 000 kPa for quartz sand, 5 000 kPa for carbonate sand.

p' is the mean effective stress at failure which may be taken as approximately equal to the effective stress σ' normal to a shear plane.

Dilatancy contribution to the peak angle of internal friction is $\Delta\phi = (\phi_{max} - \phi_{crit}) = f(I_R)$

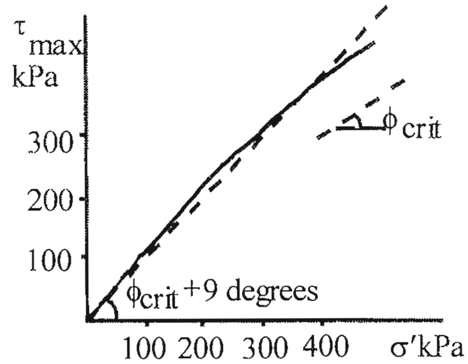
Relative dilatancy index $I_R = I_D I_C - 1$ $\quad$ where:

$I_R < 0$ indicates compaction, so that I_D increases and $I_R \rightarrow 0$ ultimately at a critical state
$I_R > 4$ to be limited to $I_R = 4$ unless corroborative dilatant strength data is available

The following empirical correlations are then available

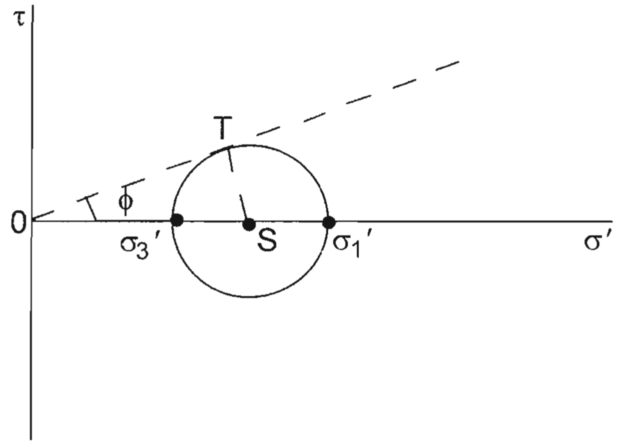
plane strain conditions	$(\phi_{max} - \phi_{crit})$	$= 0.8\ \psi_{max}$	$= 5\ I_R$ degrees
triaxial strain conditions	$(\phi_{max} - \phi_{crit})$	$= 3\ I_R$ degrees	
all conditions	$(-\delta\varepsilon_v / \delta\varepsilon_1)_{max}$	$= 0.3\ I_R$	

The resulting peak strength envelope for triaxial tests on a quartz sand at an initial relative density $I_D = 1$ is shown below for the limited stress range 10 - 400 kPa:



$\phi_{max} > \phi_{crit} + 9°$ for $I_D = 1, \sigma' = 400$ kPa

- **Mobilised (secant) angle of shearing ϕ in the 1 – 3 plane**



$$\sin\phi = TS/OS = \frac{(\sigma_1' - \sigma_3')/2}{(\sigma_1' + \sigma_3')/2}$$

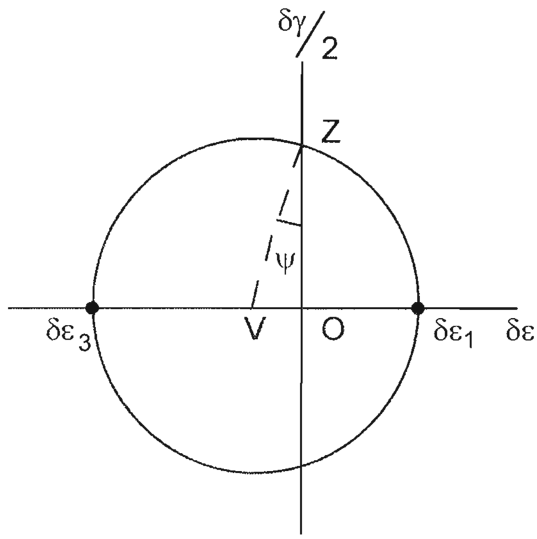
$$\left[\frac{\sigma_1'}{\sigma_3'}\right] = \frac{(1+\sin\phi)}{(1-\sin\phi)}$$

Angle of shearing resistance:

at peak strength ϕ_{max} at $\left[\frac{\sigma_1'}{\sigma_3'}\right]_{max}$

at critical state ϕ_{crit} after large shear strains

- **Mobilised angle of dilation in plane strain ψ in the 1 – 3 plane**



$$\sin\psi = VO/VZ = -\frac{(\delta\varepsilon_1 + \delta\varepsilon_3)/2}{(\delta\varepsilon_1 - \delta\varepsilon_3)/2} = -\frac{\delta\varepsilon_v}{\delta\varepsilon_\gamma}$$

$$\left[\frac{\delta\varepsilon_1}{\delta\varepsilon_3}\right] = -\frac{(1-\sin\psi)}{(1+\sin\psi)}$$

at peak strength $\psi = \psi_{max}$ at $\left[\frac{\sigma_1'}{\sigma_3'}\right]_{max}$

at critical state $\psi = 0$ since volume is constant

Plasticity: Cohesive material $\tau_{max} = c_u$ (or s_u)

- **Limiting stresses**

Tresca $|\sigma_1 - \sigma_3| = q_u = 2c_u$

von Mises $(\sigma_1 - p)^2 + (\sigma_2 - p)^2 + (\sigma_3 - p)^2 = \frac{2}{3} q_u^2 = 2c_u^2$

where q_u is the undrained triaxial compression strength, and c_u is the undrained plane shear strength.

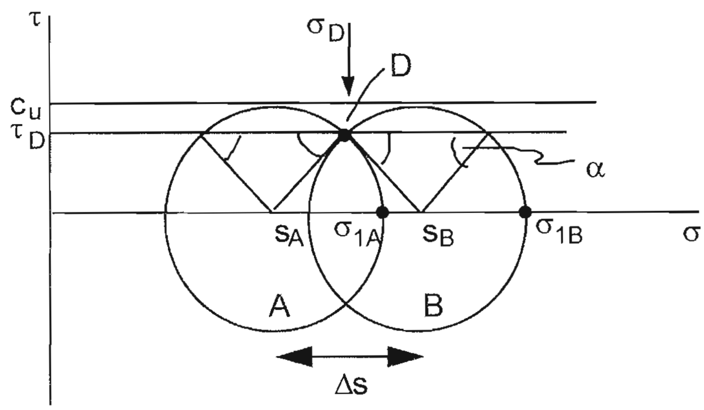
Dissipation per unit volume in plane strain deformation following either Tresca or von Mises,

$$\delta D = c_u \, \delta\varepsilon_\gamma$$

For a relative displacement x across a slip surface of area A mobilising shear strength c_u, this becomes

$$D = A c_u x$$

- **Stress conditions across a discontinuity**



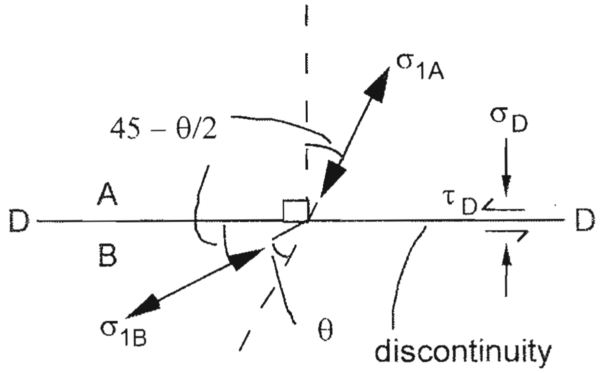
Rotation of major principal stress θ

$s_B - s_A = \Delta s = 2c_u \sin\theta$

$\sigma_{1B} - \sigma_{1A} = 2c_u \sin\theta$

In limit with $\theta \to 0$

$ds = 2c_u \, d\theta$



Useful example:

$\theta = 30°$

$\sigma_{1B} - \sigma_{1A} = c_u$

$\tau_D / c_u = 0.87$

σ_{1A} = major principal stress in zone A

σ_{1B} = major principal stress in zone B

Plasticity: Frictional material $(\tau/\sigma')_{max} = \tan\phi$

• **Limiting stresses**

$$\sin\phi = (\sigma'_{1f} - \sigma'_{3f})/(\sigma'_{1f} + \sigma'_{3f}) = (\sigma_{1f} - \sigma_{3f})/(\sigma_{1f} + \sigma_{3f} - 2u_s)$$

where σ'_{1f} and σ'_{3f} are the major and minor principal effective stresses at failure, σ_{1f} and σ_{3f} are the major and minor principle total stresses at failure, and u_s is the steady state pore pressure.

Active pressure:

$\sigma'_v > \sigma'_h$

$\sigma'_1 = \sigma'_v$ (assuming principal stresses are horizontal and vertical)

$\sigma'_3 = \sigma'_h$

$K_a = (1 - \sin\phi)/(1 + \sin\phi)$

Passive pressure:

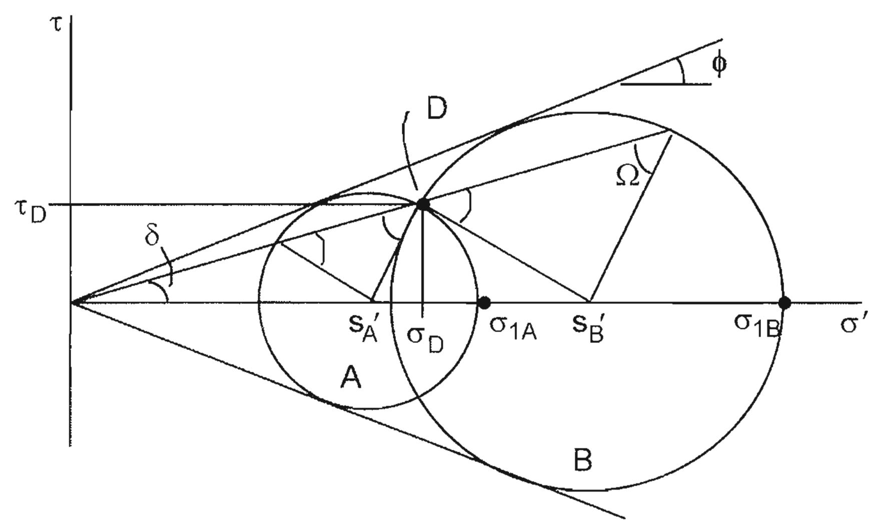
$\sigma'_h > \sigma'_v$

$\sigma'_1 = \sigma'_h$ (assuming principal stresses are horizontal and vertical)

$\sigma'_3 = \sigma'_v$

$K_p = (1 + \sin\phi)/(1 - \sin\phi) = 1/K_a$

• **Stress conditions across a discontinuity**



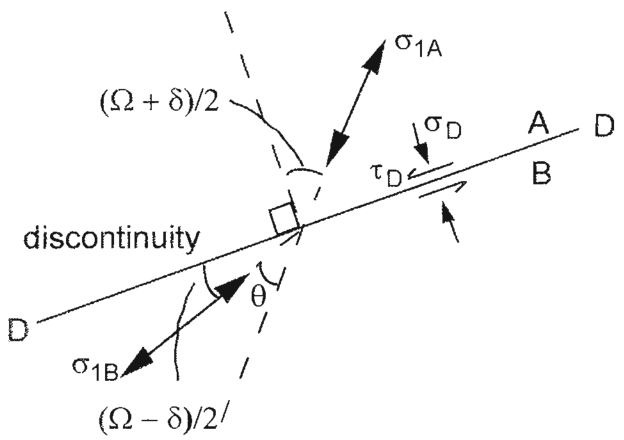
Rotation of major principal stress

$\theta = \pi/2 - \Omega$

σ_{1A} = major principal stress in zone A

σ_{1B} = major principal stress in zone B

$\tan\delta = \tau_D / \sigma'_D$



$\sin\Omega = \sin\delta / \sin\phi$

$s'_B/s'_A = \sin(\Omega + \delta) / \sin(\Omega - \delta)$

In limit, $d\theta \rightarrow 0$ and $\delta \rightarrow \phi$

$ds' = 2s'.\ d\theta \tan\phi$

Integration gives $s'_B/s'_A = \exp(2\theta\tan\phi)$

Empirical earth pressure coefficients following one-dimensional strain

Coefficient of earth pressure in 1D plastic compression (normal compression)

$$K_{o,nc} = 1 - \sin \phi_{crit}$$

Coefficient of earth pressure during a 1D unloading-reloading cycle (overconsolidated soil)

$$K_o = K_{o,nc}\left[1 + \frac{(n-1)(n_{max}^{\alpha}-1)}{(n_{max}-1)}\right]$$

where n is current overconsolidation ratio (OCR) defined as $\sigma'_{v,max} / \sigma'_v$

n_{max} is maximum historic OCR defined as $\sigma'_{v,max} / \sigma'_{v,min}$

α is to be taken as $1.2 \sin \phi_{crit}$

Cylindrical cavity expansion

Expansion $\delta A = A - A_o$ caused by increase of pressure $\delta\sigma_c = \sigma_c - \sigma_o$

At radius r: small displacement $\rho = \dfrac{\delta A}{2\pi r}$

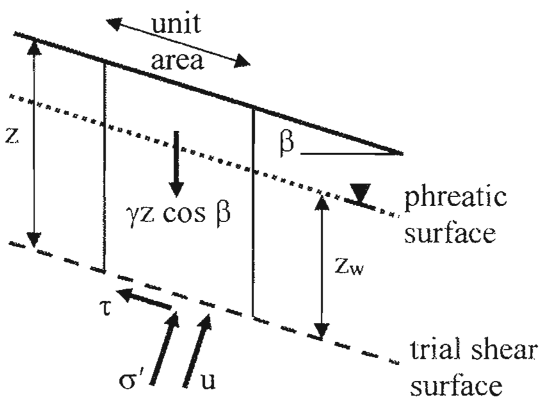
small shear strain $\gamma = \dfrac{2\rho}{r}$

Radial equilibrium: $r\dfrac{d\sigma r}{dr} + \sigma_r - \sigma_\theta = 0$

Elastic expansion (small strains) $\delta\sigma_c = G\dfrac{\delta A}{A}$

Undrained plastic-elastic expansion $\delta\sigma_c = c_u\left[1 + \ln\dfrac{G}{c_u} + \ln\dfrac{\delta A}{A}\right]$

Infinite slope analysis



$$u = \gamma_w z_w \cos^2\beta$$
$$\sigma = \gamma z \cos^2\beta$$
$$\sigma' = (\gamma z - \gamma_w z_w) \cos^2\beta$$
$$\tau = \gamma z \cos\beta \sin\beta$$

$$\tan\phi_{mob} = \frac{\tau}{\sigma'} = \frac{\tan\beta}{\left(1 - \frac{\gamma_w z_w}{\gamma z}\right)}$$

Shallow foundation design

Tresca soil, with undrained strength s_u

Vertical loading

The vertical bearing capacity, q_f, of a shallow foundation for undrained loading (Tresca soil) is:

$$\frac{V_{ult}}{A} = q_f = s_c d_c N_c s_u + \gamma h$$

V_{ult} and A are the ultimate vertical load and the foundation area, respectively. h is the embedment of the foundation base and γ (or γ') is the appropriate density of the overburden.

The exact bearing capacity factor N_c for a plane strain surface foundation (zero embedment) on uniform soil is:

$N_c = 2 + \pi$ (Prandtl, 1921)

Shape correction factor:

For a rectangular footing of length L and breadth B (Eurocode 7):

$s_c = 1 + 0.2\ B / L$

The exact solution for a rough circular foundation (D = B = L) is $q_f = 6.05 s_u$, hence $s_c = 1.18 \sim 1.2$.

Embedment correction factor:

A fit to Skempton's (1951) embedment correction factors, for an embedment of h, is:

$d_c = 1 + 0.33 \tan^{-1}(h/B)$ (or h/D for a circular foundation)

Combined V-H loading

A curve fit to Green's lower bound plasticity solution for V-H loading is:

If $V/V_{ult} > 0.5$: $$\frac{V}{V_{ult}} = \frac{1}{2} + \frac{1}{2}\sqrt{1 - \frac{H}{H_{ult}}} \quad \text{or} \quad \frac{H}{H_{ult}} = 1 - \left(2\frac{V}{V_{ult}} - 1\right)^2$$

If $V/V_{ult} < 0.5$: $H = H_{ult} = Bs_u$

Combined V-H-M loading

With lift-off: combined Green-Meyerhof

Without lift-off: $$\left(\frac{V}{V_{ult}}\right)^2 + \left[\frac{M}{M_{ult}}\left(1 - 0.3\frac{H}{H_{ult}}\right)\right]^2 + \left|\left(\frac{H}{H_{ult}}\right)^3\right| - 1 = 0$$ (Taiebet & Carter 2000)

Frictional (Coulomb) soil, with friction angle ϕ

Vertical loading

The vertical bearing capacity, q_f, of a shallow foundation under drained loading (Coulomb soil) is:

$$\frac{V_{ult}}{A} = q_f = s_q N_q \sigma'_{v0} + s_\gamma N_\gamma \frac{\gamma' B}{2}$$

The bearing capacity factors N_q and N_γ account for the capacity arising from surcharge and self-weight of the foundation soil respectively. σ'_{v0} is the in situ effective stress acting at the level of the foundation base.

For a strip footing on weightless soil, the exact solution for N_q is:

$N_q = \tan^2(\pi/4 + \phi/2)\, e^{(\pi \tan \phi)}$ (Prandtl 1921)

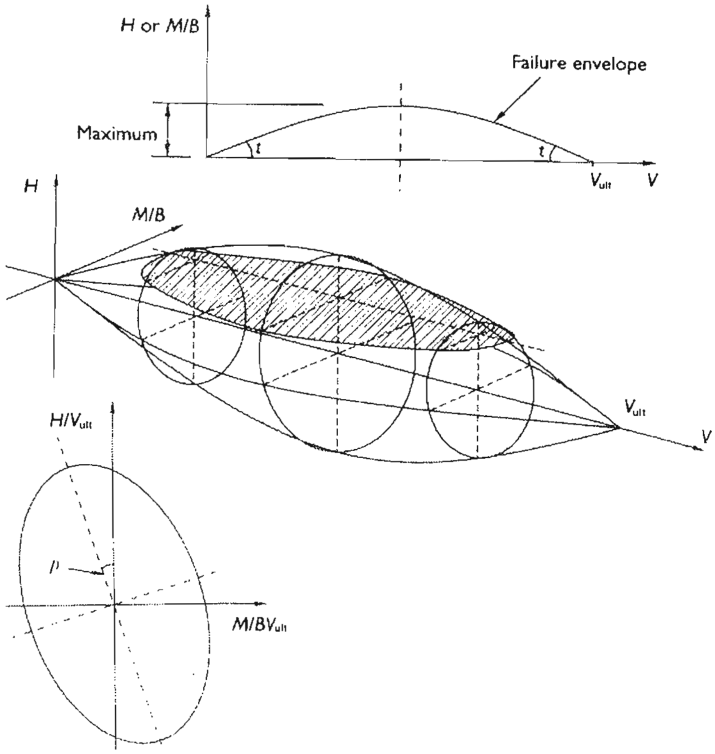
An empirical relationship to estimate N_γ from N_q is (Eurocode 7):

$N_\gamma = 2\,(N_q - 1) \tan \phi$

Curve fits to exact solutions for $N_\gamma = f(\phi)$ are (Davis & Booker 1971):

Rough base: $N_\gamma = 0.1054 e^{9.6\phi}$

Smooth base: $N_\gamma = 0.0663 e^{9.3\phi}$



Shape correction factors:

For a rectangular footing of length L and breadth B (Eurocode 7):

$s_q = 1 + (B \sin \phi) / L$
$s_\gamma = 1 - 0.3\, B / L$

For circular footings take L = B.

Combined V-H loading

The Green/Sokolovski lower bound solution gives a V-H failure surface.

Combined V-H-M loading

With lift-off- drained conditions - use Butterfield & Gottardi (1994) failure surface shown above

$$\left[\frac{H/V_{ult}}{t_h}\right]^2 + \left[\frac{M/BV_{ult}}{t_m}\right]^2 + \left[\frac{2C(M/BV_{ult})(H/V_{ult})}{t_h t_m}\right] = \left[\frac{V}{V_{ult}}\left(1 - \frac{V}{V_{ult}}\right)\right]^2$$

where $C = \tan\left(\frac{2\rho(t_h - t_m)(t_h + t_m)}{2 t_h t_m}\right)$ (Butterfield & Gottardi, 1994)

Typically, $t_h \sim 0.5$, $t_m \sim 0.4$ and $\rho \sim 15°$. Note that t_h is the friction coefficient, $H/V = \tan\phi$, during sliding.

ENGINEERING TRIPOS PART IIA 2013/2014
MODULE 3D2: GEOTECHNICAL ENGINEERING II

1. (a) v = 1.979
   (b) q = 126.5 kPa, v = 1.96
   (c) q = 56.0 kPa, v = 2.05
   (d) At yield q = 123.4 kPa, At failure 113.1 kPa,

2. (a) 510 kPa
   (b) 660 kPa, 0.06 mm
   (c) 1531 kPa
   (d) –
   (e) 95 mm

3. (a) (i) 105.6 kPa, (ii) 121.25 kPa
   (b) 179.1 kPa
   (c) 89.1 kPa

4. (a) 19.31 kN/m^3
   (b) 26.0 kPa
   (c) 30.8 degrees
   (d) 1.76, 1.22
   (e) 4.01 kPa, 33.4 kPa