ENGINEERING TRIPOS PART IIB

Wednesday 27 April 2011 2.30 to 4

Module 4M6

MATERIALS AND PROCESSES FOR MICROSYSTEMS (MEMS)

Answer not more than three questions.

All questions carry the same number of marks.

The approximate percentage of marks allocated to each part of a question is indicated in the right margin.

Attachments: 4M6 Data Book (13 pages).

STATIONERY REQUIREMENTS

Single-sided script paper

SPECIAL REQUIREMENTS

Engineering Data Book

CUED approved calculator allowed

You may not start to read the questions printed on the subsequent pages of this question paper until instructed that you may do so by the Invigilator

1 (a) Describe the *low pressure chemical vapour deposition* (LPCVD) technique for producing thin films of silicon nitride. Include a schematic diagram of a typical LPCVD system in your answer. Explain how the intrinsic stress in the silicon nitride can be controlled.

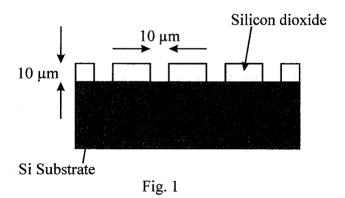
[35%]

(b) Fig. 1 shows a cross section of the surface of a partially-oxidised silicon wafer that is to be coated in a 1 µm thick layer of silicon nitride. Sketch the cross section of the trench after deposition of the silicon nitride by LPCVD. Sketch a second cross section of the trench if radio frequency plasma enhanced chemical vapour deposition (rf-PECVD) had been used to deposit the silicon nitride instead. Explain the physical origin of the difference in the cross section between the two cases.

[25%]

(c) Describe a process flow for producing the partially-oxidised silicon wafer shown in Fig. 1 starting from a bare silicon wafer. Comment on the tolerance in the dimensions of the $10~\mu m$ deep and $10~\mu m$ wide trenches between the silicon dioxide mesas that your fabrication process is likely to achieve.

[40%]



2 (a) With the aid of schematic diagrams, describe the techniques of *optical miscroscopy* and *scanning electron microscopy*. In each case, explain what limits resolution.

[30%]

(b) Compare optical microscopy and scanning electron microscopy techniques as methods for visualising MEMS devices highlighting any advantages and disadvantages. State which of these techniques was used to obtain the image shown in Fig. 2, and justify your answer.

[30%]

[20%]

[20%]

- (c) Fig. 2 shows an image of a set of free-standing nickel cantilevers suspended from the edge of a silicon substrate.
 - (i) What technique might have been used to deposit the nickel? Justify your answer.
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(ii) It was intended that the cantilevers should be flat, but in practice they are clearly curved. For the technique you have identified in part c (i), explain the likely physical origin of this curvature.



Fig. 2

3 (a) Explain what is meant by an *etch stop* in the context of MEMS device processing. What are the relative advantages and disadvantages of using an etch stop? Give two examples of how an etch stop may be practically produced.

[25%]

(b) Describe, with the aid of a diagram, the BOSCH process for performing *deep* reactive ion etching (DRIE) of silicon. Describe the benefits of using the BOSCH process over 'standard' reactive ion etching (RIE).

[25%]

(c) A particular microfluidic device requires that a network of microfluidic channels is first produced on one side of a substrate. The channels are open on their top and must be 50 μ m wide and 100 μ m deep with a dimensional accuracy of better than 2 μ m. Subsequent process steps require that the top surface of the substrate (the side with the microfluidic channels) must be made from single crystal silicon to a depth of at least 50 μ m. Construct a process flow for the fabrication of the microfluidic channels starting from bare substrates. Justify your choice of processes.

[50%]

devices. [15%]

(b) Apart from biocompatibility, describe three other considerations that must be taken into account when designing the process flow for a MEMS device that is to operate in a biologically active environment. In each case, justify your answer. [45%]

Explain what is meant by the term biocompatibility in the context of MEMS

(c) Briefly describe the method of *microcontact printing* for producing patterned layers of a biological material on a surface. [20%]

(d) Describe the process flow for producing a polydimethylsiloxane (PDMS) stamp to be used in microcontact printing. [20%]

END OF PAPER

Materials & Processes for Microsystems

Data Book 2005 Edition

http://www2.eng.cam.ac.uk/~ajf/4M6/

CONTENTS

2	CONTENTS
<u> </u>	CONTENTS

3	SECTION	1: N	MATERIAL	PRO	PERTIES

- 3 1.1 Crystalline silicon
- 4 1.2 Hydrogenated amorphous silicon
- 4 1.3 Polycrystalline diamond
- 5 1.4 Polycrystalline silicon
- 5 1.5 Silicon dioxide
- 6 1.6 Silicon nitride

7 SECTION 2: COMMON FORMULAE & DATA

- 7 2.1 Doping
- 7 2.2 Thermal crystallisation
- 7 2.3 Thermal evaporation
- 8 2.4 Sputtering
- 8 2.5 Electroplating
- 8 2.6 Elastic moduli
- 9 2.7 Piezoelectricity
- 9 2.8 Piezoresistivity
- 10 2.9 Microscopy
- 10 2.10 The Stoney equation
- 10 2.11 X-ray diffraction
- 10 2.12 UV-visible spectrometry
- 11 2.13 Fourier transform infrared spectrometry
- 11 2.14 Photolithography
- 13 2.15 Etching

SECTION 1: MATERIAL PROPERTIES

1.1 CRYSTALLINE SILICON (C-SI)

Property	Value
Atomic weight	28.1
Atomic density	$5 \times 10^{28} \mathrm{m}^{-3}$
Band gap at 300 K	1.12 eV
Chemical resistance	High (resistant to most acids and some bases)
Density	2400 kg m ⁻³
Dielectric constant	11.8
Dielectric strength	3×10 ⁸ V m ⁻¹
Electron mobility	$0.150 \text{ m}^2 \text{ V}^{-1} \text{ s}^{-1}$
Fracture strength	6 GPa
Hole mobility	$0.040 \text{ m}^2 \text{ V}^{-1} \text{ s}^{-1}$
Intrinsic carrier concentration	1.45×10 ¹⁶ m ⁻³
Intrinsic resistivity	$2.3\times10^3\Omega$ m
Knoop hardness	850 kg mm ⁻²
Lattice constant	0.543 nm
Linear coefficient of thermal expansion at 300 K	2.6×10 ⁻⁶ K ⁻¹
Melting point	1688 K
Minority carrier lifetime	2.5×10^{-3} s
Poisson ratio	0.22
Relative permittivity	11.8
Specific heat at 300 K	713 J kg ⁻¹ K ⁻¹
Thermal conductivity at 300 K	713 J kg ⁻¹ K ⁻¹ 156 W m ⁻¹ K ⁻¹ 90×10 ⁻⁶ K ⁻¹
Tempertaure coefficient of the Young	$90 \times 10^{-6} \text{ K}^{-1}$
Modulus at 300 K	
Thermal diffusivity	$0.9 \times 10^{-4} \text{ m}^2 \text{ s}^{-2}$
Yield strength	7 Gpa
Young modulus	190 GPa

1.2 HYDROGENATED AMORPHOUS SILICON (A-SI:H)

Property	Value						
Activation energy of conduction at	0.7 - 0.8 eV						
300 K							
Chemical resistance	Fairly high (resistant to most acids and						
	some bases)						
Compressive Stress	-1 – 0.5 GPa						
Dark conductivity	$10^{-9} - 10^{-8} \Omega^{-1} \text{ m}^{-1}$						
Defect density	$10^{22} \mathrm{m}^{-3}$						
Electron mobility	$10^{-4} \mathrm{m^2 V^{-1} s^{-1}}$						
Hole mobility	$2 \times 10^{-6} \mathrm{m}^2 \mathrm{V}^{-1} \mathrm{s}^{-1}$						
Hydrogen content	5 – 15 at. %						
Optical (Tauc) gap	1.75 – 1.85 eV						
Photoconductivity	$10^{-3} - 10^{-3} \Omega^{-1} \text{ m}^{-1}$						
Photosensitivity	106						
Poisson ratio	0.25						
Refractive index	3.5 – 3.8						
Urbach energy	50 – 60 meV						
Young modulus	130 – 160 GPa						

1.3 POLYCRYSTALLINE DIAMOND

Property	Value
Breakdown strength	10 ⁹ V m ⁻¹
Density	3500 kg m ⁻³
Dielectric constant	5.5
Electron mobility	$0.22 \text{ m}^2 \text{ V}^{-1} \text{ s}^{-1}$
Energy gap	5.5 eV
Hole mobility	$0.16 \text{ m}^2 \text{ V}^{-1} \text{ s}^{-1}$
Knoop hardness	$10^{10} \text{ kg m}^{-2}$
Melting point	4000° C
Thermal conductivity	2000 W m ⁻¹ K ⁻¹
Thermal expansion coefficient	8×10 ⁻⁸ K ⁻¹
Yield strength	53 GPa
Young modulus	1035 GPa

1.4 POLYCRYSTALLINE SILICON (POLY-SI)

Property	Value
Density	2320 kg m ⁻³
Dielectric constant	4.2
Electron mobility	$(3-60)\times10^{-3} \text{ m}^2 \text{ V}^{-1} \text{ s}^{-1}$
Fracture strength	0.8 – 2.84 GPa
Poisson ratio	0.23
Refractive index	4.1
Residual stress	Compressive
Thermal conductivity	$30 - 70 \text{ W m}^{-1} \text{ K}^{-1}$
Thermal expansion coefficient	$2.8 \times 10^{-6} \mathrm{K}^{-1}$
Young modulus	160 GPa

1.5 SILICON DIOXIDE (A-SIO)

Property	Value
Band gap at 300 K	9 eV
Density	2200 kg m ⁻³
Dielectric constant	3.9
Dielectric strength	$10^9 \mathrm{V m^{-1}}$
Etch rate in buffered HF	100 nm min ⁻¹
Melting point	~1600° C
Poisson ratio	0.20
Resistivity	$10^{12} - 10^{14} \Omega \mathrm{m}$
Refractive index	1.46
Residual Stress	~350 MPa (Compressive)
Thermal conductivity	1.4 W m ⁻¹ K ⁻¹
Thermal expansion coefficient	0.35×10 ⁻⁶ K ⁻¹ (Thermal)
_	2.3×10 ⁻⁶ K ⁻¹ (PECVD)
Young modulus	70 GPa

1.6 SILICON NITRIDE (A-SIN)

Property	Value
Band gap at 300 K	5.3 eV
Density	3440 kg m ⁻³
Dielectric constant	7.5
Dielectric strength	10 ⁹ V m ⁻¹
Etch rate in concentrated HF	20 nm min ⁻¹
Etch rate in buffered HF	1 nm min ⁻¹
Hydrogen content	4 – 8 at. % (LPCVD)
	20 – 25 at. % (PECVD)
Melting point	3440° C
Poisson ratio	0.27
Resistivity	$10^{12} - 10^{14} \Omega \text{ m}$
Refractive index	2.01
Thermal conductivity	19 W m ⁻¹ K ⁻¹
Thermal expansion coefficient	1.6×10 ⁻⁶ K ⁻¹
Yield strength	6.9 Gpa
Young modulus	380 GPa

SECTION 2: COMMON FORMULAE & DATA

2.1 DOPING

For the case of an infinitely deep medium where $C \rightarrow 0$ as $x \rightarrow \infty$ and there is a constant concentration of impurities at the surface as a function of time, C_s , then the solution to the diffusion equation is

$$C(x,t) = C_s \operatorname{erfc}\left(\frac{x}{2\sqrt{Dt}}\right)$$
 (2.8)

For ion implantation, dopants are implanted with a Gaussian distribution,

$$N_i(x) = \frac{Q_i}{\Delta R_p \sqrt{2\pi}} \exp \left[\frac{-1}{2} \left(\frac{x - R_p}{\Delta R_p} \right)^2 \right]$$
 (2.9)

2.2 THERMAL CRYSTALLISATION

For a material undergoing thermal crystallisation, the nucleation rate of crystallites is given by

$$N \propto \frac{1}{T} \exp \left[\frac{-\left(E_d + \Delta G_n^*\right)}{kT} \right]$$
 (3.5)

Once nucleated, crystals grow with a velocity given by

$$v \propto \exp\left[\frac{-(2E_d - \Delta G')}{2kT}\right]$$
 (3.6)

2.3 THERMAL EVAPORATION

For a material undergoing thermal evaporation, the flux of atoms evaporating per second, F, is given by

$$F = N_0 \exp\left(\frac{-\Phi_e}{kT}\right)$$
 (5.1)

where N0 is a slowly varying function of temperature and Φ_e is the activation energy required to evaporate one molecule which is related to the enthalpy of formation of the evaporant, H, by

$$\Phi_e = \frac{H}{N_A} \tag{5.2}$$

The deposition rate at a distance d from the source is

$$R \sim \frac{\cos \beta \cos \theta}{d^2} \tag{5.3}$$

2.4 Sputtering

The Sigmund expression for sputter yield is

$$S \propto \frac{eE}{Ua\{M_t/M_i\}} \tag{5.4}$$

where U is the heat of sublimation of the target material, a is a near linear function of (M_i/M_t) , M_i is the ion mass, M_t is the target atom mass, E is the ion energy and e is the momentum transfer function which for elastic collisions is given by

$$e = \frac{4M_{i}M_{t}}{(M_{i} + M_{t})^{2}}$$
 (5.5)

2.5 ELECTROPLATING

From the Faraday Law of electrolysis, the mass of metal deposited per unit area per unit time, M, is given by

$$M = \frac{JA}{zF} \tag{5.11}$$

where, assuming 100% current efficiency, J is the current density *due to metal ions*, A and z are the atomic weight and valency of the metal respectively and F is the Faraday constant, which is 96500 C.

2.6 ELASTIC MODULI

For an anisotropic *cubic* material, we may still calculate the Young modulus in an arbitrary crystallographic direction from the compliance coefficients,

$$E = \frac{1}{S_{11} - (2S_{11} - 2S_{12} - S_{44})(l_1^2 l_2^2 + l_2^2 l_3^2 + l_1^2 l_3^2)}$$
 (6.8)

Additionally, we may gain an estimate of the Young modulus for a polycrystalline cubic material from the complaince coefficients by averaging equation (6.8) over all directions

$$\overline{E} \approx \frac{1}{0.6S_{11} + 0.4S_{12} + 0.25S_{44}}$$
 (6.9)

The Poisson ratio for any normal plane in an anisotropic cubic material is

$$v = -E \left[S_{12} + \left(S_{11} - S_{12} - \frac{S_{44}}{2} \right) \left(l_1^2 m_1^2 + l_2^2 m_2^2 + l_3^2 m_3^2 \right) \right]$$
 (6.11)

The Shear modulus is dependent on the Young modulus and Poisson ratio

$$G = \frac{E}{2(1+\nu)}$$
 (6.22)

The Bulk modulus is given by

$$K = \frac{E}{3(1 - 2\nu)} \quad (6.27)$$

2.7 PIEZOELECTRICITY

For piezoelectric materials,

$$D = d\sigma + \varepsilon_0 \varepsilon_r \Big|_{\sigma} E \qquad (6.33a)$$

$$D = e\varepsilon + \varepsilon_0 \varepsilon_r |_{\varepsilon} E \qquad (6.33b)$$

and the electromechanical coupling coefficient is given by

$$k = \sqrt{\frac{de}{\varepsilon_0 \varepsilon_r \big|_{\sigma}}} \quad (6.35)$$

2.8 PIEZORESISTIVITY

For piezoresistive materials, the Ohm Law becomes

$$\mathbf{E} = \left[\mathbf{\rho}_{e} + \mathbf{\Pi} \cdot \mathbf{\sigma} \right] \cdot \mathbf{J} \tag{6.38}$$

For a cubic material, such as silicon, once again the situation is simplified. The resistivity term becomes a simple scalar. We use the same numbering system for the stress tensor, so that

$$[x, y, z, yz, zx, xy] \Leftrightarrow [1,2,3,4,5,6]$$
 (6.39)

The field-current relationships, given the symmetry of the cubic system, become

$$\frac{E_{x}}{\rho_{e}} = \left[1 + \pi_{11}\sigma_{x} + \pi_{12}(\sigma_{y} + \sigma_{z})\right]J_{x} + \pi_{44}(\tau_{xy}J_{y} + \tau_{xz}J_{z})$$

$$\frac{E_{y}}{\rho_{e}} = \left[1 + \pi_{11}\sigma_{y} + \pi_{12}(\sigma_{x} + \sigma_{z})\right]J_{y} + \pi_{44}(\tau_{xy}J_{x} + \tau_{yz}J_{z}) (6.40)$$

$$\frac{E_{z}}{\rho_{e}} = \left[1 + \pi_{11}\sigma_{z} + \pi_{12}(\sigma_{x} + \sigma_{y})\right]J_{z} + \pi_{44}(\tau_{xz}J_{z} + \tau_{yz}J_{y})$$

Where the three independent coefficients from the fourth rank piezoresistive tensor are

$$\rho_e \pi_{11} = \Pi_{1111}$$

$$\rho_e \pi_{12} = \Pi_{1122} \quad (6.41)$$

$$\rho_e \pi_{44} = \Pi_{2323}$$

Change in resistance due to the piezoresistivity effect is given by

$$\frac{\Delta R}{R} = \pi_l \sigma_l + \pi_t \sigma_t \qquad (6.42)$$

Where σl and σt are the longitudinal and transverse stress and πl and πt may be determined from the piezoelectric coefficients using the transformation

$$\pi_{l} = \pi_{11} - 2(\pi_{11} - \pi_{12} - \pi_{44})(l_{1}^{2}l_{2}^{2} + l_{1}^{2}l_{3}^{2} + l_{2}^{2}l_{3}^{2})$$
 (6.43a)

$$\pi_{t} = \pi_{12} + (\pi_{11} - \pi_{12} - \pi_{44})(l_{1}^{2}t_{1}^{2} + l_{2}^{2}t_{2}^{2} + l_{3}^{2}t_{3}^{2})$$
 (6.43b)

2.9 MICROSCOPY

For a simple optical system comprising an objective and condenser, it can be shown that the resolving power is given by

$$\delta = \frac{C\lambda}{\eta \sin \alpha} \qquad (8.2)$$

In an electron microscope, the electron wavelength is given by the de Broglie equation,

$$\lambda = h/p \tag{8.3}$$

2.10 THE STONEY EQUATION

The Stoney equation states that

$$\sigma = \frac{E}{6(1-\nu)} \frac{t_s^2}{t} \left(\frac{1}{R_c} - \frac{1}{R_0} \right)$$
 (8.7)

2.11 X-RAY DIFFRACTION

The Bragg equation for diffraction states that constructive interference will only occur when

$$n\lambda = 2d\sin\theta$$
 (8.8)

For a given set of planes $(h \ k \ l)$ in a cubic unit cell with side lengths a, b and c, the plane separation in equation 8.8 will be given by

$$\frac{1}{d^2} = \frac{h^2}{a^2} + \frac{k^2}{b^2} + \frac{l^2}{c^2}$$
 (8.9)

The structure factor, *Fhkl*, the modulus of which gives the amplitude of the wave diffracted by a particular set of planes, and is given by

$$|F_{hkl}| = \sum_{1}^{N} f_n \exp[2\pi j(hu_n + kv_n + lw_n)]$$
 (8.10)

Table 4.1 Diffraction peaks observed and not present in some common bravais lattices.

Bravais lattice	Diffraction present	Diffraction absent					
Simple	All	None					
Base centred	h and k not mixed	h and k mixed					
Body centred	(h+k+l) even	(h+k+l) odd					
Face centred	h, k and l not mixed	h, k and l mixed					

2.12 UV-VISIBLE SPECTROMETRY

The absorption coefficient, α , of a material may be determined as a function of photon energy,

$$%T = (100 - \%R) \exp(-\alpha t)$$

$$\alpha = \frac{-1}{t} \ln\left(\frac{\%T}{100 - \%R}\right)$$
(8.13)

2.13 FOURIER TRANSFORM INFRARED SPECTROMETRY

The size of the absorption peaks provide a rough guide to elemental composition (± 1 at. %),

$$C = -K_A \int \frac{\ln(\%T/100)}{kt} \partial k \qquad (8.14)$$

Wavenumber (cm ⁻¹)	Bond	Vibrational mode type
460	Si-O ₂	Rock
630	Si—H	Bend
630	Si—H ₂	Rock
630	Si—H.	Rock
630	Si—H ₂	Wag
805	Si—O ₂	Bend
820	Si—H ₂	Twist
, 840	Si-N	Stretch
860	Si—H ₂	Bend
880	Si—H ₂	Bend
905	Si—H ₂	Bend
920	SiO	Stretch
1080	Si-O ₂	Stretch
1150	N—H	Bend
2000	Si—H	Stretch
2090	Si-H ₂	Stretch
2140	Si—H ₂	Stretch
3350	NH	Stretch

2.14 PHOTOLITHOGRAPHY

The empirical expression for photoresist thickness is

$$t = \frac{KC^{\beta}\eta^{\gamma}}{R^{\alpha}} \qquad (9.2)$$

where C is the polymer concentration in g per 100 ml, h is the intrinsic viscosity, R is the number of rotations per minute, K is a calibration constant and α , β and γ are resist-dependent constants.

For positive resists, contrast is given by

$$\gamma = \frac{1}{(\log D_P - \log D_P^0)} = \left[\log \frac{D_P}{D_P^0}\right]^{-1}$$
(9.3)

whilst for negative resists

$$\gamma = \frac{1}{(\log D_g^0 - \log D_g^i)} = \left[\log \frac{D_g^0}{D_g^i}\right]^{-1}$$
 (9.4)

The resolution for shadow printing using a conventional resist of thickness z and with a print gap between the mask and the resist surface of s is given by

$$R = \frac{3}{2} \sqrt{\lambda \left(s + \frac{z}{2}\right)} \tag{9.5}$$

whilst for a projection printing system,

$$R = \frac{k_1 \lambda}{N} \tag{9.6}$$

where

$$N = n \sin \theta_{\text{max}} = \frac{D}{2F} \quad (9.7)$$

2.15 ETCHING

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ENGINEERING TRIPOS PART IIB

Wednesday 27 April 2011 2.30 to 4

Module 4M6 – NUMERICAL ANSWERS

- 2 (b) SEM
 - (c) (i) Electroplating